



**NAM SECURITIES LTD.**

MEMBER : NSE (CM & FO & SX Segments)  
F & O Clearing, BSE, MCX, DSE DP : NSDL

Reg. Corp. Office : 213, Arunachal, 19, Barakhamba Road,  
New Delhi - 110001, Phones: 23731122, 23731144  
Fax: 23730810 Email: nammf@yahoo.com

**Date: January 21, 2021**

To,  
The Manager  
Listing Department  
Bombay Stock Exchange Limited,  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Mumbai – 400001

**Subject: Outcome of Board Meeting**

**Scrip Code: 538395**

Dear Sir,

Pursuant to the provision of Regulation 30 & 33 of SEBI (LODR) Regulations, 2015, pleased be informed that the Board of Directors of the Company, at its meeting held on today i.e. on 21<sup>st</sup> January, 2021, have inter alia, considered and approved the Un-audited Financial Results of the Company along with the Limited Review Report for the quarter and nine months ended 31<sup>st</sup> December, 2020.

In this regard, we are enclosing herewith the Un-audited Financial Results of the Company along with the Limited Review Report for the quarter and nine months ended 31<sup>st</sup> December, 2020.

The results have been uploaded on Bombay Stock Exchange (<http://www.bseindia.com>) and on the website of the Company at (<http://www.namsecurities.in>)

The Meeting of Board of Directors commenced at 02:00 PM and concluded at ..3:10 PM.

You are requested to take on record of the same.

**For: NAM SECURITIES LIMITED**

  
**Compliance Officer**  
**(Divya Bisht)**

**Company Secretary & Compliance Officer**

RAJ K SRI & CO.

Chartered Accountants

202A, Arunachal Building, Barakhamba Road, New Delhi-110001

Tel: 011-41511319

---

**Limited Review Report on unaudited quarterly financial results for the quarter and nine months ended 31<sup>st</sup> December, 2020 pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

To

**The Board of Directors**

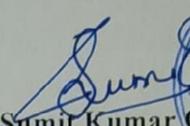
**Nam Securities Ltd.**

1. We have reviewed the accompanying Statement of unaudited financial results of **Nam Securities Limited** ("the Company") for the quarter and nine months ended 31<sup>st</sup> December, 2020.
2. This Statement, which is the responsibility of the Company's Management and approved by the Board of Directors, has been prepared in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34 "Interim Financial Reporting" ("Ind AS 34"), prescribed under section 133 of the Companies Act, 2013, and other accounting principles generally accepted in India and in compliance with Regulation 33 of the Listing Regulations. Our responsibility is to issue a report on these financial Statements based on our review.
3. We conducted our review of statement in accordance with the standard on Review Engagement (SRE) 2410 "Review of Interim Financial Information Performed by the Independent Auditor of the Entity", issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial Statements are free of material misstatement. A review is limited primarily to inquiries of Company's personnel and analytical procedures applied to financial data and thus provides less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.
4. Attention is invited to the fact that the figures for the corresponding quarter ended 31 December 2019, including the reconciliation of profit/ loss under Ind AS of the corresponding quarter with profit/ loss reported under previous GAAP, as reported in these financial results have been approved by Company's Board of Directors but have not been subjected to review.
5. Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying Statement of unaudited financial results, prepared in accordance with applicable accounting standards and other recognized accounting practices



and policies has not disclosed the information required to be disclosed in terms of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 including the manner in which it is to be disclosed, or that it contains any material misstatement.

For Raj K. Sri & Co.  
Chartered Accountants

  
Sumit Kumar Gupta  
Partner  
Mem. No. 529461



Place: Delhi  
Date: 21.01.2021

UDIN: 21529461AAAABB3063



# NAM SECURITIES LTD.

MEMBER : NSE (CM & FO & SX Segments)  
F & O Clearing, BSE, MCX, DSE DP : NSDL

Reg. Corp. Office : 213, Arunachal, 19, Barakhamba Road,  
New Delhi - 110001, Phones: 23731122, 23731144  
Fax: 23730810 Email: nammf@yahoo.com

## Statement of Un-Audited Financial Results for the Quarter and Nine Months Ended 31 December, 2020

Rs. in Lakhs, unless otherwise stated

Sr. No.	Particulars	For the Quarter ended			For the Nine Months ended		For the Year ended
		31.12.2020 (Unaudited)	30.09.2020 (Unaudited)	31.12.2019 (Unaudited)	31.12.2020 (Unaudited)	31.12.2019 (Unaudited)	31.03.2020 (Audited)
<b>1</b>	<b>Revenue from operation</b>						
a	Net Sales / Income from Operations	2001.73	2279.45	1120.33	5958.21	5192.27	6445.80
b	Fees and commission income	2.34	1.63	3.52	6.95	10.56	24.47
c	Dividend Income	23.37	1.38	0.42	25.42	1.82	9.30
d	Interest Income	0.71	1.63	0.47	4.11	3.15	4.74
e	Net gain on fair value changes	0.00	0.00	20.30	0.00	10.15	2.65
	<b>Total Revenue (a+b+c+d+e)</b>	<b>2028.15</b>	<b>2284.09</b>	<b>1145.04</b>	<b>5994.69</b>	<b>5217.95</b>	<b>6486.96</b>
2	Other Income (Net)	0.00	0.00	0.00	0.00	0.00	0.00
<b>3</b>	<b>Total Income (1+2)</b>	<b>2028.15</b>	<b>2284.09</b>	<b>1145.04</b>	<b>5994.69</b>	<b>5217.95</b>	<b>6486.96</b>
<b>4</b>	<b>Expenses</b>						
a	Finance Cost	0.03	0.05	0.02	0.09	0.06	0.07
b	Fees and commission Expenses	0.00	0.00	0.00	0.00	0.00	0.00
c	Net loss on Fair Value Changes	0.00	0.00	0.00	0.00	0.00	0.00
d	Purchase of stock-in-trade	2102.22	2240.49	1143.56	6191.58	5162.41	6436.15
e	Changes in inventories of Stock in Trade	-141.17	-6.53	-48.12	-349.60	-58.25	-79.45
f	Employees Benefits Expenses	10.20	12.33	10.70	28.75	23.57	29.31
g	Depreciation and amortization expense	3.00	3.00	3.00	9.00	9.00	9.15
h	Other Expenditure	33.26	14.33	9.84	67.61	39.7	59.31
	<b>Total Expenses (a+b+c+d+e+f+g+h)</b>	<b>2007.54</b>	<b>2263.67</b>	<b>1119.00</b>	<b>5947.43</b>	<b>5176.49</b>	<b>6454.54</b>
	<b>Profit / (Loss) before Exceptional items and Tax (3-4)</b>	<b>20.61</b>	<b>20.42</b>	<b>26.04</b>	<b>47.26</b>	<b>41.46</b>	<b>32.42</b>
<b>5</b>	<b>Exceptional items (Prior Period Expenses)</b>						
6	Exceptional items (Prior Period Expenses)	0.00	0.00	0.00	0.00	0.00	0.00
<b>7</b>	<b>Profit / (Loss) before Tax</b>	<b>20.61</b>	<b>20.42</b>	<b>26.04</b>	<b>47.26</b>	<b>41.46</b>	<b>32.42</b>



	(5-6)						
8	Tax Expenses						
a	Current Tax	3.50	4.25	0.00	9.00	1.34	2.76
b	Deferred Tax	0	0.00	0.00	0.00	0.00	-0.11
	Total Tax Expenses	3.50	4.25	0.00	9.00	1.34	2.65
9	<b>Profit and (Loss) for the period from continuing operations (7-8)</b>	<b>17.11</b>	<b>16.17</b>	<b>26.04</b>	<b>38.26</b>	<b>40.12</b>	<b>29.77</b>
10	Profit / (Loss) from discontinued operations	0	0.00	0.00	0.00	0.00	0.00
11	Tax expense of discontinued operations	0	0.00	0.00	0.00	0.00	0.00
12.	<b>Profit / (Loss) from discontinued operations (after tax) (10-11)</b>	<b>0</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
13	<b>Profit and (Loss) for the Period (9-12)</b>	<b>17.11</b>	<b>16.17</b>	<b>26.04</b>	<b>38.26</b>	<b>40.12</b>	<b>29.77</b>
14	Other Comprehensive Income / (loss) (OCI)						
(a)	Items that will not be reclassified to Profit & Loss	0.00	0.00	0.00	0.00	0.00	0.00
	Income tax relating to items that will not be reclassified to profit and loss	0.00	0.00	0.00	0.00	0.00	0.00
(b)	Items that will be reclassified to Profit & Loss	0.00	0.00	0.00	0.00	0.00	0.00
(ii)	Income tax relating to items that will be reclassified to profit and loss	0.00	0.00	0.00	0.00	0.00	0.00
15	<b>Other Comprehensive Income for the period (net of tax)</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.000</b>
16	<b>Total Comprehensive Income for the period (13+15)</b>	<b>17.11</b>	<b>16.17</b>	<b>26.04</b>	<b>38.26</b>	<b>40.12</b>	<b>29.77</b>
17	Paid up Equity Share Capital (FV of Rs. 10 each)	539.20	305.01	305.01	539.20	305.01	305.01
18	Earning per equity Share (EPS)						
	(for Continuing Operations)						
	Basic (Rs.)	0.32	0.53	0.85	0.71	1.32	0.98
	Diluted (Rs)	0.33	0.53	0.85	1.02	1.32	0.98

See the accompanying notes to the financial results



Notes:

- 1) The above unaudited financial results for the Quarter and Nine Months ended December 31, 2020, have been approved by the Board of Directors of the Company at its meeting held on January 21, 2021.
- 2) The Statutory Auditors have carried out a review of the financial results as required under regulations 33 of the SEBI (LODR) Regulations, 2015 and have issued an unmodified report thereon.
- 3) The Company has adopted Indian Accounting standard ("Ind AS") w.e.f. April 1, 2019 Accordingly, the above financial results have been prepared in accordance with the recognition and measurement principles laid down in Ind AS prescribed under Section 133 of the Companies Act, 2013 read with relevant rules issued there under and other accounting principles generally accepted in India and SEBI circular dated July 5, 2016.
- 4) The Ministry of Corporate Affairs (MCA), vide its notifications dated October 11, 2018 issued Division III Schedule III, which provides the format for financial results statements of Non-Banking Financial Companies, as defined in the Companies (Indian Accounting Standards) (Amendments) Rules, 2016, These financial results have been prepared in accordance with the same.
- 5) The Company's main business is Stock Broking activity and all activities are carried out within India. As such there are no separate reportable segments as per Ind AS 108 on "Operating Segment"
- 6) The financial results of the Quarter and Nine Months ended December 31, 2020, as submitted to stock exchange are also available on our website [www.namsecurities.in](http://www.namsecurities.in).
- 7) Previous quarter ended figures have been regrouped / reclassified wherever necessary to confirm to the current quarter presentation.

Place: New Delhi  
Date: January 21, 2021



*Kiran Goyal*  
(Kiran Goyal)  
DIN: 00503357  
Managing Director