

# NDA SECURITIES LIMITED



Member : National Stock Exchange of India Ltd.  
: Bombay Stock Exchange Ltd.  
: National Securities Depository Ltd.

Registered & Corporate Office : E-157, 2nd Floor, Kalkaji, New Delhi-110019  
Phone : 91-11-46204000 (30 lines)  
Email : info@ndaindia.com, website : www.ndaindia.com  
CIN : L74899DL1992PLC050366

February 11, 2021  
To  
The Manager (Listing)  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street  
Mumbai - 400 001

**Sub: Un- Audited Financial results for the Quarter ended 31.12.2020**  
**Ref: NDA Securities Ltd. Code No. 511535**

Dear Sir/ Madam,

Pursuant to Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Listing Regulations"), we are enclosing herewith the Un- Audited Financial Results (Standalone & Consolidated) of the Company i.e. NDA Securities Limited for the Quarter ended 31<sup>st</sup> December, 2020, duly approved by the Board of Directors of the Company, at its meeting held today i.e. 11<sup>th</sup> February, 2021 at the Registered Office of the Company. The Board Meeting commenced at 4:00 P.M. and concluded at 4:45 PM.

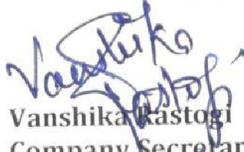
We are also enclosing a copy of Limited Review Report issued by the Statutory Auditors of the Company.

This is for your information and record.

Thanking you,

Yours faithfully

For **NDA SECURITIES LIMITED**

  
Vanshika Kastogi  
Company Secretary & Compliance Officer  
ACS-36254

Encl: Un-Audited Financial Results and Limited Review Report

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Email: info@ndaIndia.com, Web Site: www.ndaIndia.com:Ph. 01146204000:Fax : 01146204050							
STATEMENT OF STANDALONE UNAUDITED FINANCIAL RESULTS							
FOR THE QUARTER ENDED ON 31st Dec 2020 (RS. IN LACS)							
Sr. No.	Particulars	STANDALONE					
		Quarter Ended			Nine Months Ended		Year Ended
		31.12.2020	30.09.2020	31.12.2019	31.12.2020	31.12.2019	31.03.2020
	Un-Audited	Un-Audited	Un-Audited	Un-Audited	Un-Audited	Audited	
1	<b>Revenue from operations</b>						
	Brokerage and Commission Income	118.95	142.36	95.65	366.13	426.20	
	Interest Income	11.85	9.42	10.84	30.11	41.70	
	Sale of Products	-	2.32	-	6.40	24.52	
	Other Investment Income	-	-	-	-	-	
	<b>Total Income</b>	<b>130.80</b>	<b>154.10</b>	<b>106.49</b>	<b>402.64</b>	<b>492.42</b>	
2	<b>Expenses</b>						
a	Cost of material consumed	-	-	-	-	31.75	
b	Purchase of stock-in-trade	0.00	0.00	0.00	-	-	
c	Change in inventories of finished goods, work in progress and stock in trade	0.00	1.24	0.00	7.33	(7.33)	
d	Employee Benefit expenses	35.46	35.23	46.54	109.42	179.42	
e	Finance Costs	0.99	2.65	1.13	4.65	7.81	
f	Depreciation and amortisation expenses	2.92	3.19	3.54	9.63	15.69	
g	Other expenses	-	-	-	-	-	
i	Commission	22.77	26.11	24.11	71.21	87.47	
ii	Other Misc expenses	53.23	62.46	57.94	166.62	240.26	
	Total other Expenses	76.00	88.57	82.05	237.83	327.73	
	<b>Total Expenses</b>	<b>115.37</b>	<b>130.88</b>	<b>133.26</b>	<b>368.86</b>	<b>555.07</b>	
3	<b>Profit before Exceptional item and tax</b>	<b>15.43</b>	<b>23.22</b>	<b>(26.77)</b>	<b>33.78</b>	<b>(62.65)</b>	
4	Exceptional Item	-	-	-	-	0	
5	<b>Profit before Tax (3-4)</b>	<b>15.43</b>	<b>23.22</b>	<b>(26.77)</b>	<b>33.78</b>	<b>(62.65)</b>	
6	<b>Tax Expenses</b>						
	Income Tax	-	-	-	-	-	
	Deferred Tax Liability(Liability+/Asset-)	(0.00)	(0.08)	(0.03)	(0.25)	-0.49	
	<b>Total Tax Expenses</b>	<b>(0.00)</b>	<b>(0.08)</b>	<b>(0.03)</b>	<b>(0.25)</b>	<b>(0.49)</b>	
7	<b>Net Profit (+)/loss for the period from Continuing Operation (5-6)</b>	<b>15.43</b>	<b>23.30</b>	<b>(26.74)</b>	<b>34.03</b>	<b>(62.16)</b>	
8	Profit / Loss from Discontinuing Operation	-	-	-	-	0	
9	<b>Net Profit (+)/loss for the period</b>	<b>15.43</b>	<b>23.30</b>	<b>(26.74)</b>	<b>34.03</b>	<b>(62.16)</b>	
10	<b>Other Comprehensive Income</b>	<b>4.61</b>	<b>(0.20)</b>	<b>0.13</b>	<b>8.84</b>	<b>-4.6</b>	
11	Tax related to comprehensive income	-	-	-	-	0	
12	<b>Net other Comprehensive Income (10-11)</b>	<b>4.61</b>	<b>(0.20)</b>	<b>0.13</b>	<b>8.84</b>	<b>(4.60)</b>	
13	<b>Total Comprehensive Income For the period</b>	<b>20.04</b>	<b>23.10</b>	<b>(26.61)</b>	<b>42.87</b>	<b>(66.76)</b>	
14	Paid Up Equity Share (F.V. 10/-)	509.22	509.22	509.22	509.22	509.22	
15	Earning per shares (EPS) In Rs. Basic and Diluted	0.39	0.45	(0.52)	0.84	(1.31)	



**Notes:**

- 1 The above Standalone Financial Results have been reviewed by the Audit Committee and approved by the Board and taken on record in its board meeting held on Thursday, 11th of Feb. 2021
- 2 The Entire operation of the company relates to only one reportable segment therefor segment reporting by Ind As 108 is not required
- 3 There were no investor complaints pending/received during the period.
- 4 Previous year figures have been regrouped and rearranged wherever considered necessary. The figures for the quarter ended Dec 20 are balancing figures between unaudited figures in respect of the Nine month ended on Dec.2020 and unaudited figures figures for the quarter ended Sep20
- 5 The Standalone financial results are available on the Company's website [www.ndaindia.com](http://www.ndaindia.com)

For NDA Securities Limited

  
(Sanjay Agarwal)  
Managing Director  
DIN: 00010639

Signed on 11th Feb., 2021 at New Delhi



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Email: info@ndaIndia.com, Web Site: www.ndaIndia.com:Ph. 01146204000:Fax : 01146204050							
STATEMENT OF CONSOLIDATED UNAUDITED FINANCIAL RESULTS							
FOR THE QUARTER ENDED ON 31st Dec 2020 (RS. IN LACS)							
Sr. No.	Particulars	CONSOLIDATED					
		Quarter Ended			Nine Months Ended		Year Ended
		31.12.2020	30.09.2020	31.12.2019	31.12.2020	31.12.2019	31.03.2020
	Un-Audited	Un-Audited	Un-Audited	Un-Audited	Un-Audited	Audited	
1	<b>Revenue from operations</b>						
	Brokerage and Commission Income	122.57	147.80	99.71	378.67	336.51	441.76
	Interest Income	12.17	9.83	11.27	31.25	34.51	43.51
	Sale of Products	-	2.32	-	6.40	-	24.52
	Other Investment Income	-	-	-	-	-	-
	<b>Total Income</b>	<b>134.74</b>	<b>159.95</b>	<b>110.98</b>	<b>416.32</b>	<b>371.02</b>	<b>509.79</b>
2	<b>Expenses</b>						
a	Cost of material consumed	-	-	-	-	-	31.75
b	Purchase of stock-in-trade	0.00	0.00	0.00	-	-	-
c	Change in inventories of finished goods, work in progress and stock in trade	0.00	1.24	0.00	7.33	0	(7.33)
d	Employee Benefit expenses	36.11	36.04	47.35	111.53	139.15	182.71
e	Finance Costs	1.68	4.49	2.56	8.72	11.53	13.38
f	Depreciation and amortisation expenses	3.11	3.38	3.73	10.20	12.34	16.45
g	Other expenses	-	-	-	-	-	-
i	Commission	22.77	26.11	24.11	71.21	69.18	87.47
ii	Other Misc expenses	55.22	66.18	61.35	174.30	197.33	294.96
	Total other Expenses	77.99	92.29	85.46	245.51	266.51	382.43
	<b>Total Expenses</b>	<b>118.90</b>	<b>137.44</b>	<b>139.10</b>	<b>383.29</b>	<b>429.53</b>	<b>619.39</b>
3	<b>Profit before Exceptional item and tax</b>	<b>15.84</b>	<b>22.51</b>	<b>(28.12)</b>	<b>33.03</b>	<b>(58.51)</b>	<b>(109.60)</b>
4	Exceptional Item	-	-	-	-	-	0
5	<b>Profit before Tax (3-4)</b>	<b>15.84</b>	<b>22.51</b>	<b>(28.12)</b>	<b>33.03</b>	<b>(58.51)</b>	<b>(109.60)</b>
6	<b>Tax Expenses</b>						
	Income Tax	-	-	-	-	-	-
	Deferred Tax Liability(Liability+/Asset-)	0.01	(0.08)	(0.01)	(0.24)	-0.47	-0.44
	<b>Total Tax Expenses</b>	<b>0.01</b>	<b>(0.08)</b>	<b>(0.01)</b>	<b>(0.24)</b>	<b>(0.47)</b>	<b>(0.44)</b>
7	<b>Net Profit (+)/loss for the period from Continuing Operation (5-6)</b>	<b>15.83</b>	<b>22.59</b>	<b>(28.11)</b>	<b>33.27</b>	<b>(58.04)</b>	<b>(109.16)</b>
8	Profit / Loss from Discontinuing Operation	-	-	-	-	-	0
9	<b>Net Profit (+)/loss for the period</b>	<b>15.83</b>	<b>22.59</b>	<b>(28.11)</b>	<b>33.27</b>	<b>(58.04)</b>	<b>(109.16)</b>
10	<b>Other Comprehensive Income</b>	<b>4.61</b>	<b>(0.20)</b>	<b>0.13</b>	<b>8.84</b>	<b>(0.10)</b>	<b>(4.60)</b>
11	Tax related to comprehensive income	-	-	-	-	0	0
12	<b>Net other Comprehensive Income (10-11)</b>	<b>4.61</b>	<b>(0.20)</b>	<b>0.13</b>	<b>8.84</b>	<b>(0.10)</b>	<b>(4.60)</b>
13	<b>Total Comprehensive Income For the period</b>	<b>20.44</b>	<b>22.39</b>	<b>(27.98)</b>	<b>42.11</b>	<b>(58.14)</b>	<b>(113.76)</b>
14	Paid Up Equity Share (F.V. 10/-)	509.22	509.22	509.22	509.22	509.22	509.22
15	Earning per shares (EPS) In Rs. Basic and Diluted	0.40	0.44	(0.55)	0.83	(1.14)	(2.23)



**Notes:**

- 1 The above Standalone Financial Results have been reviewed by the Audit Committee and approved by the Board and taken on record in its board meeting held on Thursday, 11th of Feb. 2021.
- 2 The Entire operation of the company relates to only one reportable segment therefor segment reporting by Ind As 108 is not required
- 3 There were no investor complaints pending/received during the period.
- 4 Previous year figures have been regrouped and rearranged wherever considered necessary. The figures for the quarter ended Dec 20 are balancing figures between unaudited figures in respect of the Nine month ended on Dec.2020 and unaudited figures figures for the quarter ended Sep20
- 5 The consolidated result of the company include the results of the wholly owned subsidiaryies company ' M/s NDA Commodity Brokers Private limited'.(100% Subsidiary Company)
- 6 The Consolidated financial results are available on the Company's website [www.ndaindia.com](http://www.ndaindia.com)

**For NDA Securities Limited**



(Sanjay Agarwal)  
Managing Director  
DIN: 00010639

Signed on 11th Feb., 2021 at New Delhi



**Independent Auditor's Review Report on the Quarterly Un-audited Standalone Financial Results of the Company Pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015****Review Report to  
The Board of Directors  
NDA Securities Limited**

1. We have reviewed the accounting statement of unaudited standalone financial results of M/s NDA Securities Limited (the Company) for the quarter ended December 31, 2020 ( the Statement) attached herewith, being submitted by the Company pursuant to the requirements of Regulation 33 of the SEBI ( Listing Obligations and Disclosure Requirements) Regulations, 2015 ("the Regulation") as amended, read with SEBI Circular No. CIR/CFD/CMD1/44/2019 dated March 29, 2019 ("the Circular").
2. The preparation of the Statement in according with the recognition and measurement principles laid down in India Accounting Standard 34, (Ind AS 34) " Interim Financial Reporting" prescribed under Section 133 of the Companies Act, 2013, as amended, read with Circular is the responsibility of the Company's Management and has been approved by the Board of Directors of the Company. Our responsibility is to express a conclusion on the Statement based on our review.
3. We conducted our review of the Statement in accordance with the Standard on Review Engagements (SRE) 2410, " Review of Interim Financial Information Performed by the Independent Auditor of the Entity" issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the Statement is free of material misstatement. A review is limited primarily to inquired of Company personnel and analytical procedures applied to financial data and thus provides less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.
4. Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying Statement, prepared in accordance with the recognition and measurement principles laid down in the aforesaid Indian Accounting Standards (Ind AS) specified under Section 133 of the Companies Act, 2013 as amended, read with relevant rules issued thereunder and other recognized accounting practices and policies has not disclosed the information required to be disclosed in terms of the Regulation, read with the Circular, including the manner in which it is to be disclosed, or that it contains any material misstatement.

**For M/s Satya Prakash Garg & Co.  
(Chartered Accountants)****SATYA  
PRAKASH  
GARG**Digitally signed by  
SATYA PRAKASH  
GARG  
Date: 2021.02.11  
16:44:14 +05'30'**(Satya Prakash Garg)****Partner****M.No.083816****FRN: 017544N****DATE: 11.02.2021****PLACE: NEW DELHI****UDIN: 21083816AAAAAK1343**

**Independent Auditor's Review Report on the Quarterly Un- audited Consolidated Financial Results of the Company Pursuant to the Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Review Report to  
The Board of Directors  
NDA Securities Limited**

1. We have reviewed the accompanying Statement of unaudited Consolidated Financial Results of M/s NDA Securities Limited ("the Holding Company") and its subsidiary (the Parent and its subsidiary together referred to as 'the Group'), and its share of the net loss of its subsidiaries for the quarter ended December 31, 2020 ( the " Statement") attached herewith, being submitted by the Parent pursuant to the requirements of Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended ("the Regulations"), read with SEBI Circular No. CIR/CFD/CMD1/44/2019 dated March 29, 2019 (the Circular).
2. This Statement, which is the responsibility of the Parent's Management and approved by the Parent's Board of Directors, has been prepared in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34, (Ind AS34) "Interim Financial Reporting" prescribed under Section 133 of the Companies Act, 2013 as amended, read with relevant rules issued thereunder and other accounting principles generally accepted in India read with the Circular. Our responsibility is to express a conclusion on the Statement based on our review.
3. We conducted our review of the Statement in accordance with the Standard on Review Engagements (SRE) 2410, " Review of Interim Financial Information Performed by the Independent Auditor of the Entity" issued by the Institute of Chartered Accountants of India. A review of interim financial information consists of making inquiries, primarily of persons responsible for financial and accounting matters, and applying analytical and other review procedures. A review is substantially less in scope than an audit conducted in accordance with Standards on Auditing and consequently does not enable us to obtain assurance that we would become aware of all significant matters that might be identified in an audit. Accordingly, we do not express an audit opinion.
4. We also performed procedures in accordance with the Circular issued by the Securities and Exchange Board of India under Regulation 33(8) of the Regulations, to the extent applicable.  
The Statement includes the results of the following entity:
  - i. NDA Securities Limited
  - ii. NDA Commodity Brokers Private Limited
5. Based on our review conducted and procedures performed as stated in paragraph 3 above and based on the consideration of the review reports of other auditors referred to in paragraph 6 below, nothing has come to our attention that causes us to believe that the accompanying Statement, prepared in accordance with recognition and measurement principles laid down in the aforesaid Indian Accounting Standard specified under Section 133 of the Companies Act, 2013, as amended, read with relevant rules issued thereunder and other accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of the Regulation, read with the Circular, including the manner in which it is to be disclosed, or that it contains any material misstatement.



6. We did not review the interim financial information of subsidiary, included in the consolidated unaudited financial results, whose interim financial information reflects total revenue of ₹13.68 Lacs , total net loss after tax of (₹0.76 Lacs) for the third quarter ended December 31, 2020, as considered in the consolidated unaudited financial results. This interim financial information has been reviewed by other auditors, whose reports have been furnished to us by the management and our conclusion on the Statement, in so far as it relates to the amounts and disclosures in respect of these subsidiaries is based solely on the report of the other auditors and procedures performed by us as stated in paragraph 3 above. Our conclusion on the Statement is not modified in respect of the above matter.

**For M/s Satya Prakash Garg & Co.  
(Chartered Accountants)**

SATYA  
PRAKASH  
GARG

Digitally signed by  
SATYA PRAKASH  
GARG  
Date: 2021.02.11  
16:44:44 +05'30'

**(Satya Prakash Garg)**

**Partner**

**M.No.083816**

**FRN: 017544N**

**DATE: 11.02.2021**

**PLACE: NEW DELHI**

**UDIN: 21083816AAAAAK1343**