



LOHIA SECURITIES LTD.

- MEMBER : NSE, BSE, MCX-SX, USE, CSE
- DEPOSITORY PARTICIPANT : NSDL, CDSL



Regd. Office : 4, Biplabi Trailokya Maharaj Sarani, (Brabourne Road), 5th Floor, Kolkata - 700 001
Phone : +91 4002 6600 / 6700, Fax : +91-4002 6800 Website : www.lohiasecurities.com
Email : info@lohiasecurities.com Complaint : grievance@lohiasecurities.com

CIN No. L67120WB1995PLC067195

February 14, 2022

The Secretary
The Calcutta Stock Exchange Ltd.
7, Lyons Range
Kolkata- 700 001
.. Scrip Code: 022123

The Listing Department (Corporate Relations)
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 001
.. Scrip Code: 590082

Sub: Unaudited Financial Results for the Quarter and nine months ended 31st December, 2021 Pursuant to Regulation 30 and Regulation 33 read with Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we wish to inform you that the Board of Directors of the Company, at its meeting held on 14th February, 2022, has, inter-alia, considered and approved the Unaudited Standalone Financial Results and Unaudited Consolidated Financial Results for the Quarter and nine months ended 31st December, 2021.

Pursuant to Regulation 33 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the following:

1. Unaudited Standalone Financial Results for the Quarter and nine months ended 31st December, 2021.
2. Limited Review Report of the Statutory Auditors in respect of Unaudited Standalone Financial Results for the Quarter and nine months ended 31st December, 2021.
3. Unaudited Consolidated Financial Results for the Quarter and nine months ended 31st December, 2021.
4. Limited Review Report of the Statutory Auditors in respect Unaudited Consolidated Financial Results for the Quarter and nine months ended 31st December, 2021.

The meeting commenced at 3.00 PM and concluded at 5.45 PM. This is for you information and record.

Thanking you,

Yours faithfully

For Lohia Securities Limited

Narendra Kumar Rai
Company Secretary

Encl.: As mentioned above



Institutional Dealing Office :

1602-B, Lady Ratan Tower, 72, Dainik Shivner Marg, Gandhinagar, Worli, Mumbai - 400 018
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Independent's Auditors Limited Review Report

To
The Board of Directors
Lohia Securities Limited

We have reviewed the accompanying statement of unaudited financial results of **Lohia Securities Limited** for the quarter ended on 31st December, 2021 and for the period from 1st April' 2021 to 31st December' 2021. This statement is the responsibility of the Company's Management and has been approved by the Board of Directors. Our responsibility is to issue a report on these financial statements based on our review.

We conducted our review of the Statement in accordance with the Standard on Review Engagement (SRE) 2410, "Review of Interim Financial Information Performed by the Independent Auditor of the Entity", issued by the Institute of Chartered Accountants of India. This standard requires that we plan and perform the review to obtain moderate assurance as to whether the financial statements are free of material misstatement. A review is limited primarily to inquiries of company personnel and analytical procedures applied to financial data and thus provide less assurance than an audit. We have not performed an audit and accordingly, we do not express an audit opinion.

Based on our review conducted as above, nothing has come to our attention that causes us to believe that the accompanying statement of unaudited financial results prepared in accordance with applicable Indian Accounting Standards (Ind AS) and other recognized accounting practices and policies has not disclosed the information required to be disclosed in terms of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 including the manner in which it is to be disclosed, or that it contains any material misstatement.

Place: Kolkata

For Ranjit Jain & Co.
Chartered Accountants
(Firm Regn. No. 322505E)

Ranjit Jain



Dated: The 14th day of February' 2022

Rajiv Jain
(Partner)
Membership No. 061650
UDIN: 22 061650 ACCU DA 5216



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CIN No. L67120WB1995PLC067195

Statement of Standalone Financial Results for the Quarter/Nine month ended 31st December, 2021:

Sr. No.	Particulars	Amount (₹ in Lakhs)					
		Unaudited			Unaudited		Audited
		Quarter Ended			Nine month ended		Year ended
		31.12.21	30.09.21	31.12.20	31.12.21	31.12.20	31.03.21
I	Revenue from Operations						
i	Interest Income	72.72	67.68	53.26	204.85	176.11	231.45
ii	Dividend Income	1.64	2.03	2.26	4.08	2.40	134.12
iii	Fees and Commission Income	108.57	99.59	88.44	323.31	247.44	352.35
iv	Net gain on Fair Value Changes	1,251.83	1,440.32	684.68	3,679.54	2,004.74	2,792.45
v	Sale of products	5,404.27	6,543.59	2,033.96	17,007.47	4,763.73	9,678.07
vi	Sale of services	-	-	-	-	-	-
vii	Others Operating Income	4.38	2.36	3.43	12.27	3.43	2.57
	Total Revenue from Operations (I)	6,843.41	8,155.57	2,866.03	21,231.52	7,197.85	13,191.01
II	Other Income	0.49	0.01	-	0.50	0.01	0.01
III	Total Income (I+II)	6,843.90	8,155.58	2,866.03	21,232.02	7,197.86	13,191.02
IV	EXPENSES						
i	Finance Costs	85.11	94.49	45.08	245.69	166.29	224.41
ii	Fees and Commission Expense	2.21	1.36	1.86	5.01	4.58	5.83
iii	Impairment on Financial Instruments	(0.28)	0.57	0.67	0.29	2.09	(7.39)
iv	Purchase of stock-in-trade	5,077.54	6,568.96	2,301.41	17,011.26	5,067.48	9,941.31
v	Changes in Inventories of Finished Goods, Stock-In-Trade and Work-in-Progress	338.26	(125.32)	(190.09)	(196.79)	(385.76)	(271.09)
vi	Employee Benefits Expense	489.15	513.67	312.19	1,396.68	855.75	1,392.03
vii	Depreciation and Amortisation Expenses	7.06	5.99	5.90	18.27	15.59	21.80
viii	Other Expenses	280.71	256.95	181.52	748.22	518.75	786.35
	Total Expenses (IV)	6,279.76	7,316.67	2,658.54	19,228.63	6,244.77	12,093.25
V	Profit/(Loss) before Exceptional Items and Tax (III - IV)	564.14	838.91	207.49	2,003.39	953.09	1,097.77
VI	Exceptional Items	-	-	-	-	-	-
VII	Profit/(Loss) before Tax (V - VI)	564.14	838.91	207.49	2,003.39	953.09	1,097.77
VIII	Tax Expense:						
	Current Tax	146.80	356.30	-	503.10	-	256.42
	Deferred Tax	(12.17)	7.34	0.20	(3.07)	(3.42)	(1.33)
IX	Profit/(loss) for the period (VII-VIII)	429.51	475.27	207.29	1,503.36	956.51	842.68

LOHIA SECURITIES LTD.

Rajesh Bajaj

Director

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Statement of Standalone Financial Results for the Quarter/Nine month ended 31st December, 2021:

Sr. No.	Particulars	Amount (₹ in Lakhs)					
		Unaudited			Unaudited		Audited
		Quarter Ended			Nine month ended		Year ended
		31.12.21	30.09.21	31.12.20	31.12.21	31.12.20	31.03.21
X	Other Comprehensive Income						
a.i	Items that will not be reclassified to profit or loss	-	-	-	-	-	7.42
a.ii	Income tax relating to items that will not be reclassified to profit or loss	-	-	-	-	-	(1.87)
b.i	Items that will be reclassified to profit or loss	-	-	-	-	-	-
b.ii	Income tax relating to items that will be reclassified to profit or loss	-	-	-	-	-	-
	Other Comprehensive Income for the period (Net of Tax) (X)	-	-	-	-	-	5.55
XI	Total Comprehensive Income for the period (IX + X)	429.51	475.27	207.29	1,503.36	956.51	848.23
XII	Earnings Per Share						
i	Basic (Equity Share Face Value ₹ 10/- each)	8.62	9.54	4.16	30.17	19.20	16.91
ii	Diluted (Equity Share Face Value ₹ 10/- each)	8.62	9.54	4.16	30.17	19.20	16.91

For and on behalf of the Board of Directors

LOHIA SECURITIES LTD.

Rajesh Bajaj

Rajesh Kumar Bajaj Director

Whole Time Director

DIN: 00080664

Place: Kolkata

Dated: 14.02.2022



Institutional Dealing Office : —————

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CIN No. L67120WB1995PLC067195

Standalone Notes :

- 1 The financial results have been reviewed by the Audit Committee and taken on record by the Board of Directors of the Lohia Securities Limited (the 'Company') at its Meeting held on 14th February, 2022.
- 2 This statement has been prepared in accordance with the requirements of Regulations 33 and Regulations 52 read with Regulation 63 of the listing regulations, read with SEBI Circulars CIR/CFD/FAC/62/2016 dated 5 July 2016 and CIR/IMD/DF1/69/ 2016 dated 10 August 2016.
- 3 The Statutory Auditors of the Company have carried out the Limited Review of the results for the quarter ended December 31, 2021.
- 4 The Company does not have more than one reportable segment in line with the Indian Accounting Standards ("Ind AS") and hence, segment reporting is not applicable.
- 5 Corresponding figures of the previous periods / year have been regrouped or rearranged wherever considered necessary.

For and on behalf of the Board of Directors

LOHIA SECURITIES LTD.

Rajesh Bajaj

Rajesh Kumar Bajaj **Director**

Whole Time Director

DIN: 00080664

Place: Kolkata

Dated: 14.02.2022



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Independent's Auditors Limited Review Report

To
The Board of Directors
Lohia Securities Limited

1. We have reviewed the accompanying Statement of Consolidated Unaudited Financial Results of Lohia Securities Limited ("the Parent") and its subsidiaries (the Parent and its subsidiaries together referred to as "the Group") and its share of the net profit/(loss) after tax and total comprehensive income / loss of its associate, for the quarter ended 31st December' 2021 and for the period from 1st April' 2021 to 31st December' 2021 ("the Statement"), being submitted by the Parent pursuant to the requirement of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended.
2. This Statement, which is the responsibility of the Parent's Management and approved by the Parent's Board of Directors, has been prepared in accordance with the recognition and measurement principles laid down in Indian Accounting Standard 34 "Interim Financial Reporting" ("Ind AS 34"), prescribed under Section 133 of the Companies Act, 2013, and other accounting principles generally accepted in India. Our responsibility is to express a conclusion on the Statement based on our review.
3. We conducted our review of the Statement in accordance with the Standard on Review Engagements (SRE) 2410 "Review of Interim Financial Information Performed by the Independent Auditor of the Entity", issued by the Institute of Chartered Accountants of India. A review of interim financial information consists of making inquiries, primarily of persons responsible for financial and accounting matters, and applying analytical and other review procedures. A review is substantially less in scope than an audit conducted in accordance with Standards on Auditing and consequently does not enable us to obtain assurance that we would become aware of all significant matters that might be identified in an audit. Accordingly, we do not express an audit opinion.

We also performed procedures in accordance with the circular issued by the SEBI under Regulation 33(8) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, to the extent applicable.

4. The Statement includes the results of the following entities:
 - a) Trade City Securities Private Limited (Subsidiary company)
 - b) Trade City Commodities Private Limited (Subsidiary company)
 - c) Trade City Barter Private Limited (Subsidiary company)
 - d) Trade City Real Estate Private Limited (Subsidiary company)
 - e) Daadi Stock Broking Private Limited (Associate company)



5. Based on our review conducted and procedures performed as stated in paragraph 3 above and subject to paragraph 6 below, nothing has come to our attention that causes us to believe that the accompanying Statement, prepared in accordance with the recognition and measurement principles laid down in the aforesaid Indian Accounting Standard and other accounting principles generally accepted in India, has not disclosed the information required to be disclosed in terms of Regulation 33 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, including the manner in which it is to be disclosed, or that it contains any material misstatement.
6. The consolidated unaudited financial results includes the interim financial results of "4" subsidiaries which have not been reviewed/audited by their auditors and has been furnished to us by the management, whose interim financial results reflect total revenue of ₹ 18.45 Lakhs and ₹ 53.02 Lakhs, total net profit / (loss) after tax of ₹ 7.05 Lakhs and ₹ 14.91 Lakhs and total comprehensive income / (loss) of ₹ 59.22 Lakhs and ₹ 231.90 Lakhs for the quarter ended 31st December, 2021 and for the period from 1st April' 2021 to 31st December' 2021, as considered in the consolidated unaudited financial results. The consolidated unaudited financial results also includes the Group's share of net profit/(loss) after tax of ₹ 2.36 Lakhs and ₹ 2.54 Lakhs and total comprehensive income / (loss) of ₹ 37.10 Lakhs and ₹ 38.90 Lakhs for the quarter ended 31st December, 2021 and for the period from 1st April, 2021 to 31st December' 2021, respectively, as considered in the consolidated unaudited financial results, in respect of "1" associate, whose interim financial results have not been reviewed by their auditors and has been furnished to us by the management. According to the information and explanations given to us by the Management, these interim financial statements / financial results are not material to the Group.

Our conclusion on the Statement is not modified in respect of the above matter.

Place: Kolkata

For Ranjit Jain & Co.
Chartered Accountants
(Firm Regn. No. 322505E)

Ranjit Jain



Dated: The 14th day of February' 2022

Ranjiv Jain
(Partner)
Membership No. 061650
UDIN: 22061650 ACCX PP 8374.



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CIN No. L67120WB1995PLC067195

Statement of Consolidated Financial Results for the Quarter/Nine month ended 31st December, 2021:

Amount (₹ in Lakhs)

Sr. No.	Particulars	Unaudited			Unaudited		Audited
		Quarter Ended			Nine month ended		Year Ended
		31.12.21	30.09.21	31.12.20	31.12.21	31.12.20	31.03.21
I	Revenue from Operations						
i	Interest Income	78.39	72.89	57.76	220.38	191.38	251.34
ii	Dividend Income	1.64	2.03	2.26	4.08	2.40	134.12
iii	Fees and Commission Income	108.58	99.60	88.47	323.33	247.40	352.45
iv	Net gain on Fair Value Changes	1,262.34	1,459.36	704.87	3,704.77	2,035.93	2,868.68
v	Sale of products	5,404.27	6,543.59	2,038.40	17,007.47	4,768.25	9,682.74
vi	Sale of services	-	-	-	-	-	-
vii	Others Operating Income	4.38	2.36	3.43	12.27	3.43	2.58
	Total Revenue from Operations (I)	6,859.60	8,179.83	2,895.19	21,272.30	7,248.79	13,291.91
II	Other Income	0.58	0.01	-	0.59	0.01	0.01
III	Total Income (I+II)	6,860.18	8,179.84	2,895.19	21,272.89	7,248.80	13,291.92
IV	EXPENSES						
i	Finance Costs	92.27	101.18	53.78	266.05	194.11	259.15
ii	Fees and Commission Expense	2.22	1.36	1.86	5.02	4.58	5.83
iii	Net loss on Fair Value Changes	-	-	(6.46)	-	-	-
iv	Impairment on Financial Instruments	(0.27)	0.67	0.67	0.40	2.09	(7.01)
v	Purchase of stock-in-trade	5,077.54	6,568.96	2,301.41	17,011.26	5,067.48	9,941.31
vi	Changes in Inventories of Finished Goods, Stock-In-Trade and Work-in-Progress	338.26	(125.32)	(185.72)	(196.79)	(382.52)	(267.70)
vii	Employee Benefits Expense	489.15	513.67	312.29	1,396.68	856.50	1,392.78
viii	Depreciation, Amortisation and Impairment	9.39	8.44	8.45	25.43	22.95	31.43
ix	Other Expenses	282.45	257.69	183.46	752.14	527.29	797.60
	Total Expenses (IV)	6,291.01	7,326.65	2,669.74	19,260.19	6,292.48	12,153.39
V	Profit/(Loss) before Exceptional Items and Tax (III - IV)	569.17	853.19	225.45	2,012.70	956.32	1,138.53
VI	Exceptional Items	-	-	-	-	-	-
VII	Profit/(Loss) before Tax (V - VI)	569.17	853.19	225.45	2,012.70	956.32	1,138.53

LOHIA SECURITIES LTD.

Rajesh Bajaj

Director



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Sr. No.	Particulars	Amount (₹ in Lakhs)					
		Unaudited			Unaudited		Audited
		Quarter Ended			Nine month ended		Year Ended
		31.12.21	30.09.21	31.12.20	31.12.21	31.12.20	31.03.21
VIII	Tax Expense:						
	Current Tax	144.64	358.40	-	503.04	-	269.55
	Deferred Tax	(12.02)	12.13	0.21	(3.07)	(2.44)	(0.35)
IX	Profit / (Loss) after tax before share of profit/(loss) of associate (VII - VIII)	436.55	482.66	225.24	1,512.73	958.76	869.33
X	Share of Profit / (Loss) of associates	2.36	2.01	0.25	2.54	0.25	0.57
XI	Profit/(loss) for the period (IX + X)	438.91	484.67	225.49	1,515.27	959.01	869.90
XII	Other Comprehensive Income						
a.i	Items that will not be reclassified to profit or loss	-	-	-	-	-	6.55
a.ii	Income tax relating to items that will not be reclassified to profit or loss	-	-	-	-	-	(1.87)
a.iii	Share of OCI in Associates relating to items that will not be reclassified to profit or loss (net of income tax)	34.74	1.62	-	36.36	-	0.25
b.i	Items that will be reclassified to profit or loss	-	-	-	-	-	-
b.ii	Income tax relating to items that will be reclassified to profit or loss	-	-	-	-	-	-
b.iii	Share of OCI in Associates relating to items that will be reclassified to profit or loss (net of income tax)	-	-	(4.56)	-	(4.56)	-
	Other Comprehensive Income for the period (Net of Tax) (XII)	34.74	1.62	(4.56)	36.36	(4.56)	4.93
XIII	Total Comprehensive Income for the period (XI + XII)	473.65	486.29	220.93	1,551.63	954.45	874.83

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Rajesh Balar

Director



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Statement of Consolidated Financial Results for the Quarter/Nine month ended 31st December, 2021:

Sr. No.	Particulars	Amount (₹ in Lakhs)					
		Unaudited			Unaudited		Audited
		Quarter Ended			Nine month ended		Year Ended
		31.12.21	30.09.21	31.12.20	31.12.21	31.12.20	31.03.21
XIV	Earnings Per Share						
i	Basic (Equity Share Face Value ₹ 10/- each)	9.19	10.14	4.72	31.71	20.07	18.21
ii	Diluted (Equity Share Face Value ₹ 10/- each)	9.19	10.14	4.72	31.71	20.07	18.21

For and on behalf of the Board of Directors

LOHIA SECURITIES LTD.

Rajesh Bajaj

Rajesh Kumar Bajaj **Director**
Whole Time Director
DIN: 00080664
Place: Kolkata
Dated: 14.02.2022



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CIN No. L67120WB1995PLC067195

Consolidated Notes :

- 1 The financial results have been reviewed by the Audit Committee and taken on record by the Board of Directors of the Lohia Securities Limited (the 'Company') at its Meeting held on 14th February, 2022.
- 2 This statement has been prepared in accordance with the requirements of Regulations 33 and Regulations 52 read with Regulation 63 of the listing regulations, read with SEBI Circulars CIR/CFD/FAC/62/2016 dated 5 July 2016 and CIR/IMD/DF1/69/2016 dated 10 August 2016.
- 3 The Statutory Auditors of the Company have carried out the Limited Review of the results for the quarter ended December 31, 2021.
- 4 The Company does not have more than one reportable segment in line with the Indian Accounting Standards ("Ind AS") and hence, segment reporting is not applicable.
- 5 The consolidated results represent results of Lohia Securities Ltd. and its subsidiaries Trade City Securities (P) Ltd. (100%), Trade City Commodities (P) Ltd. (100%), Trade City Real Estate (P) Ltd. (100%) and Trade City Barter (P) Ltd. (100%) and its associates Daadi Stock Broking (P) Ltd (43.81%) have been prepared in accordance with Ind AS 110 Consolidated Financial Statement. The results for subsidiary companies Trade City Securities (P) Ltd. (100%), Trade City Commodities (P) Ltd. (100%), Trade City Real Estate (P) Ltd. (100%), Trade City Barter (P) Ltd. (100%), and its associate Daadi Stock Broking (P) Ltd. (43.81%) have been consolidated on basis of management representation.
- 6 Corresponding figures of the previous periods / year have been regrouped or rearranged wherever considered necessary.

For and on behalf of the Board of Directors

LOHIA SECURITIES LTD.

Rajesh Bajaj

Rajesh Kumar Bajaj **Director**

Whole Time Director

DIN: 00080664

Place: Kolkata

Dated: 14.02.2022



Institutional Dealing Office :

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