Prudent Corporate Advisory Services Ltd.

An Integrated Wealth Management Group



PCASL/11/2022-23 Date: 13.06.2022

To, The National Stock Exchange of India Ltd Exchange Plaza, Towers, Bandra – Kurla Complex, Bandra (E), Mumbai – 400 051 NSE EQUITY SYMBOL: PRUDENT To, BSE Limited Phiroze Jeejeebhoy

Dalal Street, Mumbai- 400 001 SCRIPT CODE: 543527

ISIN: <u>INE00F201020</u>

Dear Sir/Madam,

Sub.: Disclosure under Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed the disclosure of related party transactions for the half year ended March 31, 2022, pursuant to Regulation 23(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended from time to time, read with circular(s) issued thereunder.

This is for your information and record.

Thanking you,

Yours Faithfully,

For, Prudent Corporate Advisory Services Limited



Dhaval Ghetia Company Secretary Tele No: 079-40209600 Email: cs@prudentcorporate.com

Encl: A/a

www.prudentcorporate.com www.fundzbazar.com

Email: info@prudentcorporate.com **CIN:** U91120GJ2003PLC042458

Registered Office:

Prudent House, Panjara Pole Cross Road, Nr. Polytechnic, Ambavadi, Ahmedabad - 380015. Gujarat. Tel: +91-79-40209600

Corporate Office:

308A, 3rd Floor, HUB Town Solaris, Telli Galli Junction, N S Phadke Marg, Andheri East, Mumbai - 400069. Maharashtra. Tel: +91-22-42124600 Prudent Corporate Advisory Services Limited

Disclosure of related party transactions for the half year / six months ended March 31, 2022

Additional disclosure of related party transactions - applicable only in case the related party transaction relates to loans, inter-corporate deposits, advances or investments made or given by the listed entity/subsidiary. These details need to be disclosed only once, during the reporting period when such transaction was undertaken.

	Details of the				Value of the		In case mon	ies are due to	o In case any financial indebtedness is									
	party (listed entity /subsidiary) entering into the transaction	Details of the counterparty			related party transaction as approved by	Value of	either party as a result of the transaction		incurred to make or give loans, inter- corporate deposits, advances or investments			Details of the loans, inter-corporate deposits, advances or investments						
S. No	Name	Name	Relationship of the counterparty with the listed entity or its subsidiary	Type of related party transaction	the audit committee		Opening balance	Closing balance	Nature of indebtedness (loan/ issuance or debt/ any other etc.)	Cost	Tenure	Nature (loan/ advance/ inter- corporate deposit/ investment	Interest Rate (%)	Tenure	Secured/ unsecured	Purpose for which the funds will be utilised by the ultimate recipient of funds		
1	Advisory Services	Prudent Broking Services Private Limited	Wholly Owned Subsidiary	Rent Income	4.07	4.07	-	-										
2	Advisory Services	Prudent Broking Services Private Limited	Wholly Owned Subsidiary	Loan Given	As approved by Audit Committee	600.00	-	-										
3	,	Prudent Broking Services Private Limited	Wholly Owned Subsidiary	Loan repaid		600.00	-	-										
4	Advisory Services Limited	Prudent Broking Services Private Limited	Wholly Owned Subsidiary	Rent Deposit (Liability)	-	-	1.12	1.12										
5		Prudent Broking Services Private Limited	Wholly Owned Subsidiary	Interest Receivable (Income)	-	0.21	-	-										
6		Prudent Broking Services Private Limited	Wholly Owned Subsidiary	Demat Charges	On Actual basis	0.24	-	-										
7		Prudent Broking Services Private Limited	Wholly Owned Subsidiary	Facilitation Charges	On Actual basis	0.49	-	-										
8	Prudent Broking Services Private Limited	Prutech Financial Services Private Limited	Fellow Subsidiary	Brokerage	On Actual basis	0.01	-	-										
9	Advisory Services	Gennext Insurance Brokers Private Limited	Wholly Owned Subsidiary	Employee benefit Exp. Transfer	On Actual basis	4.28	-	4.28										
10	Advisory Services	Sanjay Rameshchandra Shah	Chairman & Managing Director	Rent	6.00	2.70	-	-										

	Details of the party (listed entity /subsidiary) entering into the transaction	Details of the coun	etails of the counterparty Relationship of the		Value of the related party transaction as approved by the audit	Value of transaction	In case monies are due to either party as a result of the transaction					Details of the Nature (loan/	e loans, inte	r-corporate	deposits, advances or investments Purpose	
S. No	Name	Name	counterparty with the listed entity or its subsidiary	Type of related party transaction	committee		Opening balance	Closing balance	indebtedness (loan/ issuance	Cost	Tenure	advance/ inter- corporate deposit/ investment	Interest Rate (%)	Tenure	Secured/ unsecured	for which the funds will be utilised by the ultimate recipient of funds
11	Prudent Corporate Advisory Services Limited	Sanjay Rameshchandra Shah	Chairman & Managing Director	Salary and Director Remuneration	As per Companies Act, 2013 and Shareholder s' approval	96.75	10.62	-								
12	Prudent Corporate Advisory Services Limited	Sanjay Rameshchandra Shah	Chairman & Managing Director	Loan Taken	7,600.00	7,600.00	-	-								
13	Prudent Corporate Advisory Services Limited	Sanjay Rameshchandra Shah	Chairman & Managing Director	Loan repaid	7,600.00	7,600.00	-	-								
14	Prudent Corporate Advisory Services Limited	Sanjay Rameshchandra Shah	Chairman & Managing Director	Interest on Borrowings	-	112.15		-								
15	Prudent Broking Services Private Limited	Sanjay Rameshchandra Shah	Chairman & Managing Director	Loan Taken		2,150.00	-	-								
16	Prudent Broking Services Private Limited	Sanjay Rameshchandra Shah	Chairman & Managing Director	Loan repaid		2,150.00	-	-								
17	Prudent Broking Services Private Limited	Sanjay Rameshchandra Shah	Chairman & Managing Director	Interest on Borrowings		2.05	-	-								
18	Prudent Corporate Advisory Services Limited	Shirish Patel	Chief Executive Officer and Whole-time Director	Salary and Director Remuneration	As per Companies Act, 2013 and Shareholder s' approval	490.05	17.12	166.25								
19	Gennext Insurance Bokers Private Limited	Chirag Shah	Whole-time Director	Salary and Director Remuneration		100.50	4.47	29.84								
20	Prudent Corporate Advisory Services Limited	Chirag Shah	Whole-time Director	Brokerage Income	On Actual basis	0.02	-	-								
21	Prudent Corporate Advisory Services Limited	Chirag Shah	Whole-time Director	Trade payable	On Actual basis	-	-	0.01								
22	Prudent Corporate Advisory Services Limited	Chirag Shah	Whole-time Director	Demat Charges Income	On Actual basis	0.00	-	-								

	Details of the party (listed entity /subsidiary) entering into the transaction	party (listed entity /subsidiary) entering into the transaction			Value of the related party transaction as approved by	y Value of	In case monies are due to either party as a result of the transaction					Details of the loans, inter-corporate deposits, advances or investmen				
S. No	Name	Name	Relationship of the counterparty with the listed entity or its subsidiary	Type of related party transaction	the audit committee	transaction during the reporting period	Opening balance	Closing balance	Nature of indebtedness (loan/ issuance or debt/ any other etc.)	Cost	Tenure	Nature (loan/ advance/ inter- corporate deposit/ investment	Interest Rate (%)	Tenure	Secured/ unsecured	Purpose for which the funds will be utilised by the ultimate recipient of funds
23	Prudent Corporate Advisory Services Limited	Karan Kailash Dutta	Independent Director	Sitting Fees and Fixed Commission	As per Companies Act, 2013 and Shareholder s' approval	12.75	0.68	3.38								
24	Prudent Corporate Advisory Services Limited	Deepak Sood	Independent Director	Sitting Fees and Fixed Commission	As per Companies Act, 2013 and Shareholder s' approval	12.75	0.68	3.38								
25	Prudent Corporate Advisory Services Limited	Aniket Talati	Independent Director	Sitting Fees and Fixed Commission	As per Companies Act, 2013 and Shareholder s' approval	1.50	-	0.68								
26	Gennext Insurance Brokers Private Limited	Aniket Talati	Independent Director	Sitting Fees and Fixed Commission	As per Companies Act, 2013 and Shareholder s' approval	5.50	-	0.68								
27	Prudent Corporate Advisory Services Limited	Shilpi Thapar	Independent Director	Sitting Fees and Fixed Commission	As per Companies Act, 2013 and Shareholder s' approval	6.50	-	1.35								

	Details of the party (listed entity /subsidiary) entering into the transaction			Value of the related party transaction as approved by	Value of	In case monies are due to either party as a result of the transaction		incurred to make corporate dep	e or give	loans, inter- lvances or	Details of the loans, inter-corporate deposits, advances or investments					
S. No	Name	Name	Relationship of the counterparty with the listed entity or its subsidiary	Type of related party transaction	committee	peniou	Opening balance	Closing balance	Nature of indebtedness (loan/ issuance or debt/ any other etc.)	Cost	Tenure	Nature (loan/ advance/ inter- corporate deposit/ investment	Interest Rate (%)	Tenure	Secured/ unsecured	Purpose for which the funds will be utilised by the ultimate recipient of funds
28	Prudent Corporate Advisory Services Limited	Chirag Kothari	Chief Financial Officer	KMP Remuneration	As per policy of the	18.70	2.13	3.75								
29	Prudent Corporate Advisory Services Limited	Chirag Kothari	Chief Financial Officer	Reimbursement of Expense	Company	0.11	-	-								
30	Prudent Corporate Advisory Services Limited	Dhaval Ghetia	Company Secretary and Compliance Officer	KMP Remuneration	As per policy of the Company	2.70	0.38	0.77								
31	Prudent Corporate Advisory Services Limited	Wagner Limited	significant Stake in	Conversion of NCCCPS to Equity Shares	N.A.	3.13	-	-								
32	Prudent Corporate Advisory Services Limited	Maitry Sanjay Shah	Personnel	Salary and Incentive	6.00	4.47	0.25	3.22								
33	Prudent Broking Services Private Limited	Maitry Sanjay Shah	Relative of Key Management Personnel	Brokerage	On Actual basis	0.02	-	-								
34	Prudent Corporate Advisory Services Limited	Niketa Shah	Relative of Key Management Personnel	Rent	6.00	2.70	-	-								
35	Prudent Corporate Advisory Services Limited	Niketa Shah	Personnel	Demat Charges	On Actual basis	*_	-	-								
36	Prudent Corporate Advisory Services Limited	Niketa Shah	Relative of Key Management Personnel	Brokerage	On Actual basis	0.02	-	-								
37	Prudent Corporate Advisory Services Limited	Prudent Corporate Advisory Services Ltd Employee Group Gratuity Fund		Contribution for Post Employment Benefit	On Actual basis	100.25	-	0.25								
38	Prudent Broking Services Private Limited	Sanjay R Shah HUF	Entity on Which KMP have significant Influence	Brokerage	On Actual basis	0.14	-	-								
39	Prudent Broking Services Private Limited	Sakhi Sanjay Shah	Relative of Key Management Personnel	Brokerage	On Actual basis	*_	-	-								

1 *- Figure nullified in conversion of Rs. In Lakhs